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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

PTO/SB21 (6-98)

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/686,897
	Filing Date	October 15, 2003
	First Named Inventor	Christopher Rygaard
	Group Art Unit	Unknown
	Examiner Name	Unknown
Total Number of Pages in This Submission	Attorney Docket Number	1010722-991151

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment attached <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Associate Power of Attorney, <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): Information Disclosure Statement (2 pp) Form PTO-1449 (1 pg.) IDS References; 5 Articles Certificate of First Class Mailing; Return postcard
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm or Individual name	Timothy W. Lohse (Reg. No. 35,255) Gray Cary Ware Freidenrich
Signature	
Date	March 18, 2004

CERTIFICATE OF MAILING

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Typed or printed name	Cathy Pittman	Date	March 18, 2004
Signature			

**INFORMATION DISCLOSURE
STATEMENT**

Applicant:	Christopher Rygaard
Application No.	09/686,897
Filed:	October 15, 2003
For:	MOBILE APPLICATION MORPHING SYSTEM AND METHOD
Group Art Unit:	2154
Examiner:	Unknown
Attorney Docket No.:	1010722-991151

Commissioner of Patents and Trademarks
P.O. Box 1450, Alexandria, VA 22313-1450

Dear Sir:

In accordance with the provisions of 37 C.F.R. § 1.56(a) and 37 C.F.R. § 1.97, Applicant(s) hereby make of record the references listed on the accompanying Form PTO-1449 for consideration by the Examiner in connection with the examination of the above-identified patent application.

This Information Disclosure Statement:

- (a) ☐ accompanies a new patent application submitted herewith.
- (b) ☒ is filed within three (3) months of the Filing Date or before the mailing date of a First Office Action on the merits; **OR**
- (c) ☐ after the period defined in (b) but before the mailing date of a Final Rejection or Notice of Allowance, **OR**
- (d) ☐ is filed after the first Office Action and more than three months after the application's filing date or PCT national stage date of entry filing but, as far as is known to the undersigned prior to the mailing date of either a final rejection or a notice of allowance, and is accompanied by either the fee (\$180) set forth in 37 CFR § 1.17(p) or a certification as specified in 37 CFR § 1.97(e), as checked below **OR**
- (e) ☐ is filed after the mailing date of either a final rejection or a notice of allowance, and the issue fee has not been paid, and is accompanied by the requisite petition fee (\$130) set forth in 37 CFR § 1.17(l)(1) and a certification as specified in 37 CFR § 1.97(e), as checked below. This document is to be considered as a petition requesting consideration of the information disclosure statement.

As required under § 1.97(e), Applicants, through the undersigned, hereby state either that [check the appropriate space]:

- (f) ☐ Each item of information contained in the Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing date of the Information Disclosure Statement; or
- (g) ☐ No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

It is respectfully requested that each of the references shown on the attached Form PTO-1449 be made of record in this application. Copies of the references are enclosed

The Commissioner is authorized to charge any deficiencies and credit any overpayment of fees to our Deposit Account No. 07-1896.

Date: March 18, 2004

Respectfully submitted,

GRAY CARY WARE & FREIDENRICH LLP

By: 

Reg. No. Timothy W. Lohse, Reg. No. 35,255
Attorneys for Applicant(s)

East Palo Alto, CA 94303
650-833-2055

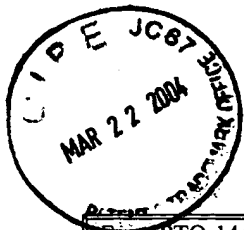
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March 18, 2004
Date


Cathy Pittman



Form PTO-1449 (modified 2/91) INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)	U.S. DEPT. OF COMMERCE Patent and Trademark Office	Attorney Docket Number: 1010722-991151	Serial Number: 09/686,897
	Applicant: Christopher Rygaard		
	Filing date: October 15, 2003		Group art unit: 2154

U.S. PATENT DOCUMENTS

Examiner Initial	Patent Number	Date	Name	Class	Sub-class	Filing date if appropriate

FOREIGN PATENT DOCUMENTS

Document number	Date	Country	Class	Sub-class	Translation	
					YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	W.A. Jansen, "A Privilege Management Scheme for Mobile Agent Systems" NIST May 2001
	Wayne Jansen, "Countermeasures for Mobile Agent Security", NIST November 2000
	Wayne Jansen et al., "Privilege Management of Mobile Agents", NIST October 2000
	W. Jansen, "Intrusion Detection with Mobile Agents" Computer Communications Journal, Special Issue On Intrusion Detection October 2, 2001
	"Jumping Beans™ White Paper" Ad Astra Engineering, Incorporated December 1998

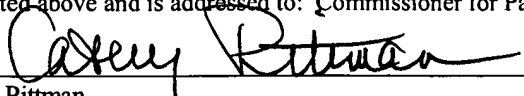
Examiner:	Date Considered:
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP '609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.	



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COMMISSIONER FOR PATENTS
P.O. BOX 1450
ALEXANDRIA, VA 22313-1450

Sir:

Transmitted herewith for filing for the Application:

U.S. Patent Application S/N: 10/686,897 for
MOBILE APPLICATION MORPHING SYSTEM AND METHOD

FILED: October 15, 2003
INVENTOR(s): Christopher Rygaard
EXAMINER: Unknown
ART UNIT: Unknown

Enclosed are:

- [1] Transmittal Form;
- [2] Information Disclosure Statement;
- [1] PTO Form 1449;
- [5] IDS References: 5 Articles;
- [1] Certificate of First Class Mailing; and
- [1] Return Postcard